fargh S. Schuller 06/28/00



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Miller et al.

Group Art Unit: 2742

Serial No.: 09/537,835

**Examiner: Not Assigned** 

Filed: March 29, 2000

Docket No.: 1322/2

For:

METHODS AND SYSTEMS FOR PROVIDING DATABASE NODE ACCESS CONTROL FUNCTIONALITY IN A COMMUNICATIONS NETWORK ROUTING

NODE

RESPONSE TO NOTICE TO FILE MISSING PARTS - FILING DATE GRANTED

Commissioner for Patents Box Missing Parts Washington, D.C. 20231

Sir:

Pursuant to the "Notice to File Missing Parts of Application - Filing Date Granted" dated May 31, 2000, and having a period for response set to expire on <u>July 31, 2000</u>, please find enclosed the following:

- An original executed Declaration and Power of Attorney for the abovereferenced U.S. Patent Application;
- An original executed Assignment and related Recordation Form Cover
   Sheet for the above-referenced U.S. Patent Application; and
- A check in the amount of \$2522.00 (\$690.00 representing the basic filing fee, \$1662.00 representing the additional claim fees, \$130.00 representing the declaration surcharge fee, and \$40.00 representing the Assignment recordation fee).
- 4. Fee Transmittal Form (PTO/SB/17) in Duplicate; and

5. A return receipt postcard to be returned to our offices with the U.S. F and Trademark Office date stamp thereon.

A copy of the Notice to File Missing Parts is being filed simultaneously.

Although a check is being submitted herewith, the Commissioner is he authorized to charge any deficiency or credit any overpayments in connection with filing of this Response to Deposit Account No. 50-0426.

Respectfully submitted,

JENKINS & WILSON, P.A.

Date: June 28, 2000

By:

Gregory A. Hunt Registration No. 41,085

Suite 1400 University Tower 3100 Tower Boulevard Durham, North Carolina 27707 Telephone: (919) 493-8000 Facsimile: (919) 419-0383

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GAH/kss

**Enclosures** 

1322/2



## **FORMALITIES LETTER** \*OC00000005148128\*



#### UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/537,835

Richard E Jenkins Jenkins & Wilson PA University Tower Suite 1400 Durham, NC 27707



Paul Andrew Miller

1322/2

Date Mailed: 05/31/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION --

## FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1662.
  - \$1584 for 88 total claims over 20.
  - \$78 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2482.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTC/SB/17 (6/99)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTA for FY 1999

Patent fees are subject to annual revision.

Complete if Known 09/537,835 Application Number March 29, 2000 Filing Date Paul Andrew Miller First Named Inventor Not Assigned **Examiner Name** 2742 Group / Art Unit 1322/2 Attorney Docket No.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28. OTAL AMOUNT OF PAYMENT (\$) 2522.00

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		Entity Fee	Sma Fee	AL FE Il Entity Fee e (\$)		Fee Paid	
Account Number	105	130	205	65	Surcharge - late filing fee or oath	130.00	
Deposit Account	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	0.00	
Name	139	130	139	130	Non-English specification	0.00	
Charge Any Additional Fee Required	147	2,520	147	2,520	For filing a request for reexamination	0.00	
Under 37 CFR §§ 1.16 and 1.17	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	0.00	
2. X Payment Enclosed: X Check Order Other	113	1,840°	113	1,840*	Requesting publication of SIR after Examiner action	0.00	
FEE CALCULATION	115	110	215	55	Extension for reply within first month	0.00	
	116	380	216	190	Extension for reply within second month	0.00	
1. BASIC FILING FEE	117	870	217	435	Extension for reply within third month	0.00	
Large Entity Small Entity Fee Fee Fee Fee Description	118	1,360	218	680	Extension for reply within fourth month	0.00	
Code (\$) Code (\$) Fee Paid	128	1,850	228	925	Extension for reply within fifth month	0.00	
101 760 201 380 Utility filing fee 690.00	119	300	219	150	Notice of Appeal	0.00	
106 310 206 155 Design filing fee	120	300	220	150	Filing a brief in support of an appeal	0.00	
107 480 207 240 Plant filing fee	121	260	221	130	Request for oral hearing	0.00	
108 760 208 380 Reissue filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	0.00	
114 150 214 75 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable	0.00	
SUBTOTAL (1) (\$) 690.00	141	1,210	241	605	Petition to revive - unintentional	0.00	
2. EXTRA CLAIM FEES	142	1,210	242	605	Utility issue fee (or reissue)	0.00	
Fee from  Ext <u>ra Claims below</u> Fee Paid	143	430	243	215	Design issue fee	0.00	
Total Claims 108 -20** = 88 × 18 = 1584	144	580	244	290	Plant issue fee	0.00	
Independent 4 - 3** = 1 x 78 = 78	122	130	122	130	Petitions to the Commissioner	0.00	
Multiple Dependent =0	123	50	123	50	Petitions related to provisional applications	0.00	
**or number previously paid, if greater; For Reissues, see below	126	240	126	240	Submission of Information Disclosure Stmt	0.00	
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00	
103 18 203 9 Claims in excess of 20	146	760	246	380	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00	
102 78 202 39 Independent claims in excess of 3	149	760	249	380	For each additional invention to be	<del></del>	
104 260 204 130 Multiple dependent claim, if not paid					examined (37 CFR § 1.129(b))	0.00	
109 78 209 39 ** Reissue independent claims over original patent	Other f	Other fee (specify) 0.00					
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	Other fee (specify) 0.00						
SUBTOTAL (2) (\$)1,662.00	Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 170.00						

SUBMITTED BY	1		Complete (ii	Complete (if applicable)		
Name (Print/Type) Gregory A. Hunt		Registration No. (Attorney/Agent) 41,085	Telephone	001-919-493-8000		
Signature July A.	111		Date	June 28 2000		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.